01-09-02



PATENT APPLICATION
FICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant: Gallo, et al.

Serial No.: 09/677,152

Date Filed: October, 2 2000

Title: THERAPEUTIC FRACTIONS OF

SOURCES OF hCG

Atty. Docket No.:

4115-116 DIV

Group Art Unit:

1627

Examiner:

**Bennett Celsa** 

Paper No.:

23448
PATENT TRADEMARK OFFICE

#### **EXPRESS MAIL CERTIFICATE**

It hereby is certified by the person identified below that the attached documents are being mailed to the Commissioner of Patents on the date specified, in an envelope addressed to the Commissioner of Patents, Washington, DC 20231, and Express Mailed under the provisions of 37CFR 1.10.

Katrina Holland

January 7, 2002

Date of Mailing

EV037732689US

Express Mail Label Number

## STATEMENT OF IDENTITY UNDER 27 C.F.R. §1.821 (f)

Commissioner for Patents Washington, DC 20231

Sir:

I hereby state that I have prepared the paper copy of the document titled "SEQUENCE LISTING" and recorded such document on computer readable form on January 5, 2002, and that information recorded in computer readable form is identical to that on the paper copy of sequence listing submitted.

Marianne Fuierer Reg. No. 39,983

Attorney for Applicants

INTELLECTUAL PROPERTY/
TECHNOLOGY LAW
P.O. Box 14329
Research Triangle Park, NC 27709
Telephone: (919) 419-9350
Fax: (919) 419-9354
Attorney Ref: 4115-116 DIV 4



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

|                                       |                                   | ·  |   |
|---------------------------------------|-----------------------------------|--|---|
|                                       | FIRST NAMED INVENTOR              | ATTORNEY DOCKET NO.  | CONFIRMATION NO   |
| FILING DATE                           |                                   | 4115-116 DIV 4   | 4147  |
| 10/02/2000                            | Robert C. Gallo                   |  |   |
| 148 7590 12/07/2001 CONTRACTOR OF LAW |                                   | EXAMINER   |   |
| JAL PROPERTY / I                      | ECHNOLOGIE                        | CELSA, BENNETT M   |   |
| RIANGLE PARK, NC                      | 27709                             | ARTIBUT  | PAPER NUMBER  |
|                                       | 2 2002                            | ARTUNII  | 17.0  |
|                                       | I IIII I                          | 1627   |   |
|                                       |                                   | DATE MAILED: 12/07/200   | 1   |
|                                       | TO TO A DOMART                    |  |   |
|                                       | 90 12/07/2001<br>JAL PROPERTY / T | FILING DATE FIRST NAMED INVENTOR  10/02/2000 Robert C. Gallo  90 12/07/2001  JAL PROPERTY / TECHNOLOGY LAW | FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO.  10/02/2000 Robert C. Gallo 4115-116 DIV 4  90 12/07/2001  JAN 0 7 2002 65  DATE MAILED: 12/07/200 |

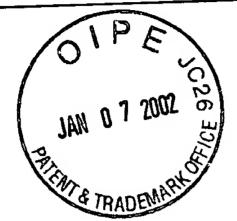
Please find below and/or attached an Office communication concerning this application or proceeding.

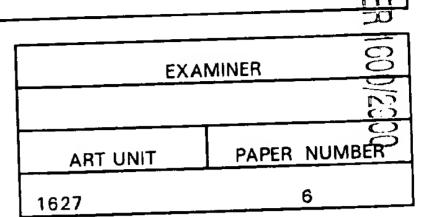
| Intellectual Property/To | echi<br>L | nology Law      |
|--------------------------|-----------|-----------------|
| File: 415-16 VI          |           | L Assigned Aug. |
| ACTION REQ'D             | D         | EADLINE         |
| Su Listin                |           | 12/02           |
| 1                        |           |                 |
|                          |           |                 |
|                          | 1         |                 |
|                          | 1         |                 |
|                          |           |                 |
|                          |           |                 |



UNITED STATE SEPARTMENT OF COMMERCE **Patent and Trademark Office** COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 TEC

ATTORNEY DOCKET NO! FIRST NAMED APPLICANT FILING DATE SERIAL NUMBER O9/677,152 **EXAMINER** 





# Please find below a communication from the EXAMINER in charge of this application

#### Sequence Rules

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Applicant is given ONE MONTH from the mailing date of this communication within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

### General information regarding further correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Celsa whose telephone number is (703) 305-7556.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jyothsna Venkat (art unit 1627), can be reached at (703)308-0570.

Any inquiry of a general nature, or relating to the status of this application, should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Bennett Celsa (art unit 1627) December 5, 2001

EENNETT DELSA

| NUCLEOTIDE SEQUENCE ND/OR AMINO ACID SEQUENCE DISCLOSUR   | TPE  |
|---|--|
| The nucleotide and/or amino acid sequence disclosure contained in this application does not complete for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):  | y with the requirements                      |
| 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, I   | TO ALLENDA                                   |
| 2. This application does not contain, as a separate part of the disclosure on paper copy, a "S required by 37 CFR 1.821(c).   | Sequence Listing as                          |
| 3. A copy of the Sequence Listing" in computer readable form has not been submitted as readable form.   | quired by 37 CFR 1.82                        |
| 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.82 attached marked-up copy of the "Raw Sequence Listing." | r, the content of the 3, as indicated on the |
| 5. The computer readable form that has been filed with this application has been found to be unreadable as indicated on the attached CRF Diskette Problem Report. A substitute compute submitted as required by 37 CFR 1.825(d).          | _  |
| 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of as required by 37 CFR 1.821(e).  | of the "Sequence Listing                     |
| 7. Other: ————————————————————————————————————  |  |
| Applicant must provide:   |  |
|   |  |
| An initial exembetitute computer readable form (CRF) copy of the "Sequence Listing"   |  |
| An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing specification  | g its entry into the                         |
| A statement that the content of the paper and computer readable copies are the same and, who new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)   | ere applicable, include                      |
| For questions regarding compliance with these requirements, please contact:   |  |
| For Rules Interpretation, call (703) 308-1123   | TO A   |
| For CRF submission help, call (703) 308-4212  |  |
| For Patentin software help, call (703) 308-6856   | 7 2 4  |
| Please return a copy of this notice with your response.   | JAN 1 7 2001<br>ECH CENTER 1600/2000         |
|   |  |

# Attachment for PTO-948 (Rev. 03/01, or earlier) 6/18/01

The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

1. Correction of Informalities -- 37 CFR 1.85

New corrected drawings must be filed with the changes incorporated therein. Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1.136(a) or (b) for filing the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

# 2. Corrections other than Informalities Noted by Draftsperson on form PTO-948.

All changes to the drawings, other than informalities noted by the Draftsperson, MUST be made in the same manner as above except that, normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other than correction of informalities, unless the examiner has approved the proposed changes.

#### Timing of Corrections

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication. See 37 CFR 1.85(a).

Failure to take corrective action within the set period will result in ABANDONMENT of the application.

JAN O 7 2002